

EMPLOYMENT AND TRAINING ADMINISTRATION ADVISORY SYSTEM U.S. DEPARTMENT OF LABOR Washington, D.C. 20210	CLASSIFICATION Unemployment Insurance
	CORRESPONDENCE SYMBOL OUI/DL
	DATE March 15, 2021

ADVISORY: UNEMPLOYMENT INSURANCE PROGRAM LETTER NO. 14-21

TO: STATE WORKFORCE AGENCIES

FROM: SUZAN G. LEVINE 
Principal Deputy Assistant Secretary

SUBJECT: American Rescue Plan Act of 2021 (ARPA) – Key Unemployment Insurance (UI) Provisions

1. **Purpose.** To advise states of the enactment of the American Rescue Plan Act of 2021 (ARPA or Act) (Pub. L. 117-2), specifically Title IX, Subtitle A, Crisis Support for Unemployed Workers, on March 11, 2021, and to provide an overview and instructions for implementing ARPA’s UI-related provisions.
2. **Action Requested.** The Department of Labor’s (Department) Employment and Training Administration (ETA) requests State Workforce Administrators provide the information contained in this Unemployment Insurance Program Letter (UIPL) to appropriate program and other staff in state workforce systems as they implement the UI-related provisions that respond to the economic effects of the Coronavirus Disease 2019 (COVID-19) pandemic.
3. **Summary and Background.**
 - a. Summary – On March 11, 2021, the President signed ARPA into law. This Act amends certain provisions of the Emergency Unemployment Insurance Stabilization and Access Act (EUISAA), set out in Division D of the Families First Coronavirus Response Act (Pub. L. 116-127) and the Coronavirus Aid, Relief, and Economic Security (CARES) Act (Pub. L. 116-136), as amended by the Protecting Nonprofits from Catastrophic Cash Flow Strain Act of 2020 (Protecting Nonprofits Act) (Pub. L. 116-151) and the Continued Assistance for Unemployed Workers Act of 2020 (Continued Assistance Act) (Pub. L. 116-260).

Many of the UI-related provisions in EUISAA and the CARES Act, as amended, are now extended, with some modifications, beyond their current expiration date of March 14, 2021, to September 6, 2021. The amendments are discussed further in Section 4 of this UIPL. Section 4.d. of this UIPL describes the required notifications for each state. Section 4.e. of this UIPL provides instructions for states to submit an SF-424 for implementation funding.

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Acknowledging that states need time to modify their computer systems to accommodate the extensions and modifications provided under ARPA, the Department expects many states will need until the middle of April or later to implement the new provisions and begin notifying individuals.

Attachment I provides an updated summary of the coordination of unemployment benefit programs, including a program progression chart. Attachment II lists important dates within ARPA. Attachment III provides instructions for states to complete the SF-424 for implementation cost funding.

Section 9032, ARPA, creates a new Section 2118 of the CARES Act and provides for a \$2,000,000,000 appropriation to the Secretary of Labor (Secretary) for fraud detection and prevention, and to promote equitable access and ensure timely payment of benefits to eligible workers. Such funds may be used for federal administrative costs; system-wide infrastructure investment and development; and to make grants to states or territories administering unemployment compensation (UC) programs (including territories administering the Pandemic Unemployment Assistance (PUA) program) for such purposes, including the establishment of procedures or the building of infrastructure to verify or validate identity, implement federal guidance regarding fraud detection and prevention, and accelerate claims processing or process claims backlogs due to the pandemic. Guidance regarding this appropriation will be provided at a later time.

Additionally, Section 9042, ARPA, suspends a portion of UC from an individual's federal tax liability beginning in 2020. This does not impact the state's requirement to issue a 1099-G for the full amount of unemployment benefits paid. Further questions should be referred to the Internal Revenue Service.

- b. Background – On March 18, 2020, EUISAA was enacted, which provided: 1) emergency administrative grants to states; 2) emergency flexibilities to states related to temporarily modifying certain aspects of their UC laws; 3) a short-term waiver of Title XII (42 U.S.C. § 1321 et al.) interest payments due and interest accrual on Title XII advances to states; and 4) full federal funding, under certain circumstances, of Extended Benefits (EB). *See* UIPL No. 13-20, published on March 22, 2020, and UIPL No. 13-20, Change 1, published on May 4, 2020.

On March 27, 2020, the CARES Act was enacted, which included the creation of three new UC programs: PUA, Federal Pandemic Unemployment Compensation (FPUC), and Pandemic Emergency Unemployment Compensation (PEUC). The CARES Act also provided for emergency unemployment relief for governmental entities and nonprofit organizations, temporary full federal funding of the first week of compensable regular UC for states with no waiting week, as well as temporary financing of state short-time compensation (STC) payments and a federal STC program. The CARES Act also amended Section 4102(b), EUISAA, by providing states with temporary emergency flexibility for the merit staffing requirement as needed in response to the spread of COVID-19 through December 31, 2020. *See* UIPL No. 14-20, published on April 2, 2020, and UIPL No. 14-20, Change 1, published on August 12, 2020.

On August 3, 2020, the Protecting Nonprofits Act was enacted, which further amended Section 903(i) of the Social Security Act (SSA), created by Section 2103 of the CARES Act related to emergency unemployment relief for governmental entities and nonprofit organizations. *See* UIPL No. 18-20, Change 1, published on August 12, 2020.

On December 27, 2020, the Continued Assistance Act was enacted and extended the emergency flexibilities, short-term waiver of interest payments due and interest accrual on Title XII advances to states, and full federal funding, under certain circumstances, of EB – as originally provided under EUISAA. The Continued Assistance Act extended the expiration dates for PEUC and PUA; reauthorized the FPUC program at \$300 per week; extended the emergency unemployment relief for governmental entities and nonprofit organizations, provided temporary federal funding at 50 percent of the first week of compensable regular UC for states with no waiting week, extended temporary financing of state STC payments and a federal STC program; and extended the temporary emergency flexibility for the merit staffing requirement – as originally provided under the CARES Act. The Continued Assistance Act also created the Mixed Earners Unemployment Compensation (MEUC) program and established several new requirements for the CARES Act programs, including identity verification and submission of documentation substantiating employment or self-employment for PUA claims. *See* UIPL No. 09-21, published on December 30, 2020. These provisions were set to expire on March 14, 2021, prior to the enactment of ARPA.

Importance of Program Integrity. Addressing improper payments and fraud is a top priority for the Department and the entire UI system. States play a fundamental role in ensuring the integrity of the UI system. Especially during this time of extraordinary workloads, states should maintain a steadfast focus on UI functions and activities that ensure program integrity and the prevention and detection of improper payments and fraud across all programs operated within the UI system, while ensuring that eligible individuals continue to have and maintain access to benefits. It is critical that states implement processes that ensure payments are being made only to eligible individuals and that states have aggressive strategies and tools in place to prevent, detect, and recover fraudulent payments, with a particular emphasis on imposter fraud by claimants using false or stolen identities.

Additionally, under the Continued Assistance Act, for states to have an adequate system for administering the PUA program, states must include procedures for identity verification or validation and for timely payment, to the extent reasonable and practicable, for all new PUA claims filed on or after January 26, 2021 (*see* Section C.3. of Attachment I to UIPL No. 16-20, Change 4).

UIPL No. 28-20, published on August 31, 2020, and UIPL No. 28-20, Change 1, published January 15, 2021, provided states with funding to assist with efforts to prevent and detect fraud and identity theft and to recover fraud overpayments in the PUA and PEUC programs.

4. Guidance.

a. **Amendments to EUISAA.** ARPA extends the following provisions of EUISAA.

i. *Temporary assistance for states with advances* (Section 9021, ARPA). The period of time during which interest will be deemed paid and no interest will accrue on state advances from the Federal Unemployment Account (FUA) is extended to September 6, 2021. With this change, refer to UIPL No. 13-20 for additional guidance.

ii. *Full federal funding of EB* (Section 9022, ARPA).

- Extension of Full Federal Funding Period for Sharable Costs. Full federal funding of sharable regular compensation and sharable extended compensation for eligible states is extended through weeks of unemployment beginning before September 6, 2021. In states where the week of unemployment ends on a Saturday, the last week of unemployment for which full federal funding is available is the week ending on September 11, 2021. In states where the week of unemployment ends on a Sunday, the last week of unemployment for which full federal funding is available is the week ending on September 5, 2021.
- Extension of Full Federal Funding Period for the First Week of EB in States without a Waiting Week. Temporary federal matching for the first week of EB for states with no waiting week is extended to weeks of unemployment beginning before September 6, 2021. In states where the week of unemployment ends on a Saturday, the last week of unemployment for which this funding is available is the week ending on September 11, 2021. In states where the week of unemployment ends on a Sunday, the last week of unemployment for which this funding is available is the week ending on September 5, 2021.

Note that this is a separate provision from the full federal funding of the first week of compensable regular UC, which is provided for in the CARES Act and discussed in more detail in Section 4.c.iv. of this UIPL.

The temporary emergency flexibilities provided for in Section 4102(b), EUISAA, regarding waiting week, work search, good cause, and experience rating do not change with the enactment of ARPA. States continue to have flexibility in applying these provisions on a temporary emergency basis, as needed, to respond to the spread of COVID-19. See UIPL No. 13-20 and its Changes.

b. **Continuation of Emergency State Staffing Flexibility** (Section 9015, ARPA).

Authority for temporary emergency staffing flexibilities in response to the spread of COVID-19 was originally provided through Section 2106 of the CARES Act and then extended through March 14, 2021, under the Continued Assistance Act. ARPA continues to provide for such flexibility through September 6, 2021. The guidance provided in

Section 4.d. of UIPL No. 14-20 remains relevant. This flexibility only applies for responding to workload and increased demand resulting from the spread of COVID-19 and is limited to engaging temporary staff, rehiring retirees or former employees on a non-competitive basis, and other temporary actions to quickly process applications and claims.

- c. **Amendments to the CARES Act, as amended by the Continued Assistance Act.** ARPA provides for the extension and modification of certain provisions of the CARES Act and Continued Assistance Act.

CARES Act Agreements. The “Agreement Implementing the Relief for Workers Affected by Coronavirus Act” (Agreement) that was signed by each state in March, 2020 remains in effect with the modifications and extensions of these updated provisions. As provided in paragraph I. of the Agreement:

The [insert state name] State Workforce Agency, hereinafter referred to as the “Agency,” will make payments of benefits in accordance with the provisions of the Act identified in paragraph XIV [the CARES Act], and any future amendments thereto (which are incorporated herein by reference), and will cooperate with the U.S. Department of Labor (Department of Labor), and with other state agencies in making such payments.

The Agreement incorporates amendments to the CARES Act made by the Continued Assistance Act and ARPA. The Department does not view having a new agreement or addenda as necessary to implement the statutory changes because amendments are incorporated by reference.

Instructions for states that reinstated the waiting week provision and now wish to retroactively waive such provision are provided in paragraph (iv) below.

Under the existing CARES Act Agreement, each state is required to operate the programs as required by any statutory amendments and the Department’s guidance.

As set forth in Section XI of the Agreement, a state may terminate specific provisions within the agreement listed in Section XIV or terminate the agreement itself with thirty days’ written notice if it chooses to no longer administer such provisions. Additionally, states are reminded that the Return to Work Reporting Requirement described in Section 4.c. of UIPL No. 09-21 remains in place during any period in which the state’s Agreement is in effect.

- i. *PUA* (Section 9011, ARPA).
- Extension of Program (Section 9011(a), ARPA). The end of the period of applicability for the PUA program is extended, without interruption, to weeks of unemployment ending on or before September 6, 2021.

In states where the week of unemployment ends on a Saturday, the last payable week of PUA is the week ending on September 4, 2021. In states where the week of unemployment ends on a Sunday, the last payable week of PUA is the week ending on September 5, 2021. The phaseout period originally provided in the Continued Assistance Act is repealed.

- Additional Weeks Available (Section 9011(b), ARPA). The maximum number of weeks of PUA benefits is increased from 50 weeks to 79 weeks. The number of weeks available continues to be reduced by any weeks of regular UC and EB that the individual receives with respect to the Pandemic Assistance Period. No amount of benefits shall be payable by virtue of the amendments made by ARPA with respect to any week of unemployment ending on or before March 14, 2021.

States are reminded, as described in Section C.17. of Attachment I to UIPL No. 16-20, Change 4, the additional 11 weeks provided under the Continued Assistance Act (increasing the duration from 39 weeks to 50 weeks) may only be paid with respect to weeks of unemployment beginning on or after December 27, 2020. In states where the week of unemployment ends on a Saturday, the first week for which these additional 11 weeks may be paid is the week ending January 2, 2021. In states where the week of unemployment ends on a Sunday, the first week for which these additional 11 weeks of benefits may be paid is the week ending January 3, 2021.

The additional 29 weeks of benefits now provided under ARPA (increasing the duration from 50 to 79 weeks) may only be paid with respect to weeks of unemployment ending after March 14, 2021. In states where the week of unemployment ends on a Saturday, the first week for which these additional 29 weeks of benefits may be paid is the week ending on March 20, 2021.¹ In states where the week of unemployment ends on a Sunday, the first week for which these additional 29 weeks of benefits may be paid is the week ending on March 21, 2021.

- New Hold Harmless for Proper Administration (Section 9011(c), ARPA). Generally, an individual must have exhausted all entitlement to regular UC, PEUC, and EB before being eligible for PUA. However, ARPA provides a “hold harmless” provision for an individual who previously exhausted PEUC and is now receiving PUA, but, because of Section 9016(b), ARPA, becomes eligible for additional amounts of PEUC beginning on or after March 11, 2021. States may temporarily continue paying PUA to an individual currently receiving PUA who is newly eligible to receive PEUC due to the additional weeks of PEUC. This flexibility is allowed for an appropriate period of time as determined by the Secretary.

¹ We note that there are 25 weeks between the week ending March 13, 2021, and the last payable week of September 4, 2021. As such, individuals may not exhaust their full PUA entitlement before the program expires.

Based on the experience of states implementing a similar provision with the Continued Assistance Act, the Department considers six weeks of unemployment commencing after the date of enactment of ARPA (week ending April 24, 2021) an appropriate period of time for states to implement the additional amounts of PEUC and move an individual from their PUA claim back to PEUC. During this time, an individual may remain eligible for PUA notwithstanding the fact that the individual now has additional entitlement to PEUC. Recognizing the unique circumstances states face and the number and complexity of UI programmatic changes that states must swiftly implement, should a state determine that it will not be able to transition individuals from PUA back to PEUC during that timeframe, the state must contact the appropriate ETA Regional Office and determine the earliest date that the state will be able to implement this expansion of PEUC benefits.

Individuals may not receive payments under both the PUA and PEUC programs for the same week of unemployment. Any PUA payments made with respect to weeks of unemployment during this implementation period do not need to be moved from the PUA to PEUC claim. This will not affect the individual's entitlement to the additional PEUC benefits. Should the individual later exhaust PEUC and resume filing against their PUA claim, such weeks of PUA will have already been deducted from the individual's overall PUA entitlement.

With these changes, refer to UIPL Nos. 16-20, Change 4, and 16-20, Change 5, for the latest Implementation and Operating Instructions.

ii. *Relief for governmental entities and nonprofit organizations.*

- Extension of Federal Funding Period and Increase in Amount (Section 9012, ARPA). The applicable period for emergency unemployment relief for governmental entities and nonprofit organizations, as authorized by Section 2103 of the CARES Act, amended by the Protecting Nonprofits Act, and codified at Section 903(i), SSA, is extended to weeks of unemployment ending on or before September 6, 2021.

Additionally, the amount of emergency relief for weeks of unemployment beginning after March 31, 2021, increases from 50 percent of compensation paid to 75 percent.

For states where the week of unemployment ends on a Saturday, this begins with the week ending on April 10, 2021. In states where the week of unemployment ends on a Sunday, this increased amount begins with the week ending on April 11, 2021.

In states where the week of unemployment ends on a Saturday, the last week of unemployment for which funding is available is the week ending on September 4, 2021. In states where the week of unemployment ends on a Sunday, the last week of unemployment for which funding is available is the week ending on September 5, 2021.

With these changes, refer to UIPL No. 18-20, Change 1, published on August 12, 2020, for additional details.

iii. *FPUC and MEUC* (Section 9013, ARPA).

- Extension of FPUC Program (Section 9013, ARPA). The FPUC program, which expired July 31, 2020, was reauthorized by the Continued Assistance Act and modified to provide \$300 per week in supplemental benefits for weeks of unemployment beginning after December 26, 2020, and ending on or before March 14, 2021. ARPA extends the FPUC program at \$300 per week through the week ending on or before September 6, 2021.

In states where the week of unemployment ends on a Saturday, the last week of unemployment for which FPUC must be paid is the week ending on September 4, 2021. In states where the week of unemployment ends on a Sunday, the last week of unemployment for which FPUC must be paid is the week ending on September 5, 2021.

- Extension of MEUC Program (Section 9013, ARPA). The MEUC program was created by the Continued Assistance Act to provide an additional \$100 supplemental weekly payment to eligible individuals beginning with the week of unemployment ending on January 2, 2021 (January 3, 2021 for states with a Sunday week ending date). For states choosing to administer the MEUC program, ARPA extends the MEUC program through the week ending on or before September 6, 2021.

In states where the week of unemployment ends on a Saturday, the last week of unemployment for which MEUC may be paid is the week ending on September 4, 2021. In states where the week of unemployment ends on a Sunday, the last week of unemployment for which MEUC may be paid is the week ending on September 5, 2021.

With these changes, refer to UIPL No. 15-20, Change 3, published on January 5, 2021, for additional details.

iv. *Full federal funding of the first week of compensable regular UC* (Section 9014, ARPA).

- Extension of Federal Funding Period. For states without a waiting week provision in their state UC law, 100 percent reimbursement of the first week

of regular UC is available through weeks of unemployment ending on or before September 6, 2021.

In states where the week of unemployment ends on a Saturday, the last week of unemployment for which reimbursement is available is the week ending on September 4, 2021. In states where the week of unemployment ends on a Sunday, the last week of unemployment for which reimbursement is available is the week ending on September 5, 2021.

- Modification of Federal Funding Level. As noted previously, the Continued Assistance Act reduced the amount of federal funding for the first week of regular UC to 50 percent. Under ARPA, the amount of federal funding for reimbursement is retroactively established at 100 percent for weeks of unemployment ending after December 31, 2020.

States that reinstated their waiting week provision after enactment of the Continued Assistance Act and now wish to retroactively waive the waiting week provision to receive the reinstated 100 percent reimbursement for weeks ending after December 31, 2020 must send notification to covid-19@dol.gov, at which time they will receive additional instructions. The state law (or waiver of state law) providing a compensable first waiting week must apply retroactively to the applicable weeks of unemployment.

With these changes, refer to UIPL No. 20-20, published on April 30, 2020, for additional details.

v. *PEUC* (Section 9016, ARPA).

- Extension of Program (Section 9016(a), ARPA). The end of the period of applicability for the PEUC program is extended, without interruption, to weeks of unemployment ending on or before September 6, 2021.

In states where the week of unemployment ends on a Saturday, the last payable week of PEUC is the week ending on September 4, 2021. In states where the week of unemployment ends on a Sunday, the last payable week of PEUC is the week ending on September 5, 2021. The phaseout period originally provided in the Continued Assistance Act is repealed.

- Additional Weeks Available (Section 9016(b), ARPA). The maximum amount of PEUC compensation that may be established in an individual's account for the benefit year is increased from 24 times the individual's average weekly benefit amount (WBA) to 53 times the individual's average WBA. No amount of benefits shall be payable by virtue of the amendments made by ARPA with respect to any week of unemployment ending on or before March 14, 2021.

States are reminded, as described in Section 4.d. of UIPL No. 17-20, Change 2, the additional amount provided under the Continued Assistance Act (increasing entitlement from 13 times the individual's average WBA to 24 times the individual's average WBA) may only be paid with respect to weeks of unemployment beginning on or after December 27, 2020. In states where the week of unemployment ends on a Saturday, the first week for which this additional amount may be paid is the week ending January 2, 2021. In states where the week of unemployment ends on a Sunday, the first week for which this additional amount may be paid is the week ending January 3, 2021.

The additional amount now provided under ARPA (increasing entitlement from 24 times the individual's average WBA to 53 times the individual's average WBA) may only be paid with respect to weeks of unemployment ending after March 14, 2021. In states where the week of unemployment ends on a Saturday, the first week for which this additional amount may be paid is week ending on March 20, 2021.² In states where the week of unemployment ends on a Sunday, the first week for which this additional amount may be paid is week ending on March 21, 2021.

- Requirement for Individuals Receiving EB to Exhaust EB before Collecting Additional Weeks of PEUC (Section 9016(c), ARPA). If an individual previously exhausted PEUC and began receiving EB, they must exhaust EB before being eligible to receive the additional amount of PEUC now available as a result of ARPA.

This applies to all individuals receiving EB for the week ending March 13, 2021 (the week in which ARPA was enacted). If an individual did not receive EB for the week ending March 13, 2021, then the individual must resume their prior PEUC claim with the amounts augmented by the ARPA.

- Related EB Provision (Section 9016(d), ARPA). As provided for under Section 206(c) of the Continued Assistance Act, if permitted under state law, an individual may be considered eligible for EB after they exhaust PEUC, provided that such a week occurs during an EB period. This applies even if the individual's benefit year has expired. ARPA extends the application of this provision to weeks of unemployment beginning before September 6, 2021. In states where the week of unemployment ends on a Saturday, this provision may apply to PEUC claims exhausting on or before week ending September 11, 2021. In states where the week of unemployment ends on a Sunday, this provision may apply to PEUC claims exhausting on or before week ending September 5, 2021. *See* Section 4.b. of UIPL No. 24-20, Change 1, for additional details.

² We note that there are 25 weeks between week ending March 13, 2021, and the last payable week of September 4, 2021. As such, individuals may not exhaust their full PEUC entitlement before the program expires.

With these changes, refer to UIPL No. 17-20, Change 2, published December 31, 2020 for additional details.

- vi. *Temporary financing of STC payments* (Sections 9017 and 9018, ARPA). For states operating an STC program under state law, reimbursement of STC payments has been extended to include weeks of unemployment ending on or before September 6, 2021.

In states where the week of unemployment ends on a Saturday, the last week of unemployment for which reimbursement is available is the week ending on September 4, 2021. In states where the week of unemployment ends on a Sunday, the last week of unemployment for which reimbursement is available is the week ending on September 5, 2021.

With this change, for states with an existing STC program in state law, refer to UIPL No. 21-20, published May 3, 2020, for additional details.

States are reminded that the CARES Act also provides states with grant funds to implement or improve administration of an STC program and to promote and enroll employers. The deadline to apply for these grants has not changed. It is December 31, 2023. *See* UIPL No. 22-20, published May 10, 2020.

- d. **Required Notifications.** States must individually notify all individuals who: (1) are currently receiving PUA, PEUC, FPUC, or MEUC; (2) have exhausted PUA or PEUC; and (3) anyone else for whom a PUA or PEUC claim was previously established (*e.g.*, individuals with remaining PUA or PEUC balances who stopped filing such claims).

Such notification should address the new provisions of ARPA, specifically regarding changes to program dates and the maximum benefit entitlement for PUA or PEUC, as appropriate, as well as the change to program dates for FPUC or MEUC, as appropriate. Individuals who have exhausted PUA and PEUC must be provided instructions on how to reopen their claims. The distribution method for these notifications must be consistent with other correspondence provided to the individual by the state, which may include mail, email, or through the individual's online web portal.

States may also post general information about the new provisions of ARPA on their websites and on social media.

The Department reminds states that if the state determines that a claim was filed by an individual who did not own the identity, the state may not send any notification of potential entitlement to the individual.

The following table identifies the type of notification that must be included, depending on the program from which the individual is receiving benefits. For example, an individual who is receiving benefits from the PEUC program must be notified of the change in program dates and increase in PEUC amounts, the change in program dates for FPUC

and MEUC, and receive a Monetary Redetermination Notice for their PEUC claim. States may choose to provide these separately or in a single notice.

	For individuals who have filed a general claim^A	For individuals who have filed a PEUC claim	For individuals who have filed an EB claim	For individuals who have filed a PUA claim
PEUC Provisions	-	Yes	Yes ^B	Yes, only if individual has a previous PEUC claim
PUA Provisions	-	-	-	Yes ^C
FPUC Provisions	Yes	Yes	Yes	Yes
MEUC ^D	Yes	Yes	Yes	-
Monetary Redetermination Notice	-	Yes	-	Yes

^A This column applies to claims for regular UC, Unemployment Compensation for Federal Civilian Employees (UCFE), Unemployment Compensation for Ex-Servicemembers (UCX), STC, Trade Readjustment Allowances (TRA), Disaster Unemployment Assistance (DUA), and payments under the Self-Employment Assistance (SEA) program.

^B It is not necessary for a state to send this notice to individuals who received EB for the week ending March 13, 2021 immediately; instead the state may choose to wait until the individual exhausts EB before notifying the individual and augmenting the prior PEUC claim.

^C When the individual has a previous PEUC claim, it is not necessary for a state to send this notice immediately; instead, the state may choose to wait until the individual exhausts the augmented PEUC entitlement before notifying the individual and augmenting the existing PUA claim.

^D This notice does not apply if the state has elected **not** to administer the MEUC program. This notice also does not apply if the state has determined that an individual is not eligible for MEUC, either because they reported no self-employment income or based on the state's review of an individual's submitted documentation for self-employment income.

- e. **Administrative Costs for Implementation of Changes.** The Department will make available \$275,000 to each state for the implementation of the provisions associated with the PUA, FPUC, and PEUC amendments described above. The funding will be added to the state's COVID-19 Pandemic grant in the following fashion: PUA administration +\$100,000, PEUC administration +\$75,000, FPUC administration +\$50,000, and MEUC administration +\$50,000, for those states participating in the MEUC program.

Permissible implementation costs include:

- Computer programming and other technology costs;
- Implementation of necessary business processes required for program implementation;
- Training and travel;

- Notices to beneficiaries; and
- Overhead related only to the above.

States are only required to submit a signed SF-424 form for each funding allotment (i.e., SF-424 for the PUA; SF-424 for the FPUC; SF-424 for the PEUC; and an SF-424 for MEUC if the state is participating in the MEUC program). ETA encourages states to submit these forms as soon as possible, but no later than **March 31, 2021**, by electronic submission to the National Office at covid-19@dol.gov, with a copy to the appropriate ETA Regional Office. For additional information on completing the SF-424, please refer to Attachment III, Instructions for Completing the SF-424.

States that need additional funding to cover implementation costs for any of the programs listed above must submit a complete Supplemental Budget Request (SBR) package. The SBR application should provide details of such costs and the basis for these estimated costs. Calculations for costs for state staff and contractors should be shown in accordance with the SBR instructions in ET Handbook No. 336, 18th edition, *Unemployment Insurance State Quality Service Plan Planning and Reporting Guidelines*. For application instructions refer to UIPL No. 15-20, Attachment IV, SBR Application Template. The complete SBR package for the program for which additional funding is being requested must include the SBR Application Template, and a completed SF-424 and SF-424A.

Additionally, please note that grantees that receive supplemental grant awards for implementing these program changes must submit a quarterly progress report using the form ETA 9178-P to the appropriate ETA Regional Office. The form ETA 9178-P requires the grantee to provide ETA with narrative updates on supplemental grant activities. Attachments III and IV to UIPL No. 16-20, Change 1, contain form ETA 9178-P and instructions for completing the form ETA 9178-P and timeline for the submission of these status reports.

5. **Inquiries.** Please direct inquiries to covid-19@dol.gov with a copy to the appropriate ETA Regional Office.

6. **References.**

- American Rescue Plan Act of 2021 (ARPA), including Title IX, Subtitle A, Crisis Support for Unemployed Workers (Pub. L. 117-2);
- Consolidated Appropriations Act, 2021, including Division N, Title II, Subtitle A, the Continued Assistance for Unemployed Workers Act of 2020 (Continued Assistance Act) (Pub. L. 116-260);
- Protecting Nonprofits from Catastrophic Case Flow Strain Act of 2020 (Protecting Nonprofits Act) (Pub. L. 116-151);
- Coronavirus Aid, Relief, and Economic Security (CARES) Act, including Title II, Subtitle A, Relief for Workers Affected by Coronavirus Act (Pub. L. 116-136);
- Families First Coronavirus Response Act, including Division D Emergency Unemployment Insurance Stabilization and Access Act of 2020 (EUISAA) (Pub. L. 116-127);

- Section 203 of the Federal-State Extended Unemployment Compensation Act of 1970 (EUCA) (26 U.S.C. §3304 note);
- Title XII, Social Security Act (42 U.S.C. §1321 et al.);
- 20 C.F.R. Part 625, Disaster Unemployment Assistance;
- UIPL No. 09-21, *Continued Assistance for Unemployed Workers Act of 2020 (Continued Assistance Act) - Summary of Key Unemployment Insurance (UI) Provisions*, issued December 30, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3831;
- UIPL No. 28-20, Change 1, *Additional Funding for Identity Verification or Verification of Pandemic Unemployment Assistance (PUA) Claimants and Funding to Assist with Efforts to Prevent and Detect Fraud and Identity Theft as well as Recover Fraud Overpayments in the PUA and Pandemic Emergency Unemployment Compensation (PEUC) Programs*, issued January 15, 2021, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=9897;
- UIPL 28-20, *Addressing Fraud in the Unemployment Insurance (UI) System and Providing States with Funding to Assist with Efforts to Prevent and Detect Fraud and Identity Theft and Recover Fraud Overpayments in the Pandemic Unemployment Assistance (PUA) and Pandemic Emergency Unemployment Compensation (PEUC) Programs*, issued August 31, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=8044;
- UIPL No. 24-20, Change 1, *Continued Assistance for Unemployed Workers Act (Continued Assistance Act) of 2020 - Provisions Affecting the Federal-State Extended Benefits Program*, issued December 31, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=7779;
- UIPL No. 24-20, *Temporary Changes to the Federal-State Extended Benefits (EB) Program in Response to the Economic Impacts of the Coronavirus Disease 2019 (COVID-19) Pandemic Emergency*, issued May 14, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=7132;
- UIPL No. 23-20, *Program Integrity for the Unemployment Insurance (UI) Program and the UI Programs Authorized by the Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Federal Pandemic Unemployment Compensation (FPUC), Pandemic Unemployment Assistance (PUA), and Pandemic Emergency Unemployment Compensation (PEUC) Programs*, issued May 11, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=4621;
- UIPL No. 22-20, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Short-Time Compensation (STC) Program Grants*, issued May 10, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=6220;
- UIPL No. 21-20, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 - Short-Time Compensation (STC) Program Provisions and Guidance Regarding 100 Percent Federal Reimbursement of Certain State STC Payments*, issued May 3, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=9622;
- UIPL No. 20-20, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 - Operating, Financial, and Reporting Instructions for Section 2105: Temporary Full Federal Funding of the First Week of Compensable Regular Unemployment for States with No Waiting Week*, issued April 30, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?docn=6324;

- UIPL No. 18-20, Change 1, *Amendments to the Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 - Emergency Unemployment Relief for State and Local Governmental Entities, Certain Nonprofit Organizations, and Federally-Recognized Indian Tribes*, issued August 12, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=8149;
- UIPL No. 17-20, Change 2, *Continued Assistance for Unemployed Workers Act of 2020-Pandemic Emergency Unemployment Compensation (PEUC) Program: Extension, Transition Rule, Increase in Total Benefits, and Coordination Rules*, issued December 31, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=9291;
- UIPL No. 17-20, Change 1, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Pandemic Emergency Unemployment Compensation (PEUC) Program: Questions and Answers, and Revised Reporting Instructions for the PEUC ETA 227*, issued May 13, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=8689;
- UIPL No. 17-20, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Pandemic Emergency Unemployment Compensation (PEUC) Program Operating, Financial, and Reporting Instructions*, issued April 10, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=8452;
- UIPL No. 16-20, Change 5, *Expanded Eligibility Provisions for the Pandemic Unemployment Assistance (PUA) Program*, issued February 25, 2021, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3202;
- UIPL No. 16-20, Change 4, *Continued Assistance to Unemployed Workers Act of 2020-Pandemic Unemployment Assistance (PUA) Program: Updated Operating Instructions and Reporting Changes*, issued January 8, 2021, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=6973;
- UIPL No. 16-20, Change 3, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Eligibility of Individuals who are Caregivers for Pandemic Unemployment Assistance (PUA) in the Context of School Systems Reopening*, issued August 27, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3849;
- UIPL No. 16-20, Change 2, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Pandemic Unemployment Assistance (PUA) Additional Questions and Answers*, issued July 21, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=5479;
- UIPL No. 16-20, Change 1, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Pandemic Unemployment Assistance (PUA) Program Reporting Instructions and Questions and Answers*, issued April 27, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=5899;
- UIPL No. 16-20, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Pandemic Unemployment Assistance (PUA) Program Operating, Financial, and Reporting Instructions*, issued April 05, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=4628;
- UIPL No. 15-20, Change 3, *Continued Assistance for Unemployed Workers (Continued Assistance) Act of 2020 - Federal Pandemic Unemployment Compensation (FPUC) Program Reauthorization and Modification and Mixed Earners Unemployment Compensation (MEUC) Program Operating, Reporting, and*

- Financial Instructions*, issued January 5, 2021,
https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=6122;
- UIPL No. 15-20, Change 2, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – New Data Collection Instrument and Revised Reporting Instructions for Federal Pandemic Unemployment Compensation (FPUC)*, issued June 15, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=7785;
 - UIPL No. 15-20, Change 1, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Federal Pandemic Unemployment Compensation (FPUC) Program Reporting Instructions and Questions and Answers*, issued May 9, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3946;
 - UIPL No. 15-20, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Federal Pandemic Unemployment Compensation (FPUC) Program Operating, Financial, and Reporting Instructions*, issued April 14, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=9297;
 - UIPL No. 14-20, Change 1, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Questions and Answers*, issued August 12, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3791;
 - UIPL No. 14-20, *Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 – Summary of Key Unemployment Insurance (UI) Provisions and Temporary Emergency State Staffing Flexibility*, issued April 2, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3390;
 - UIPL No. 13-20, Change 1, *Families First Coronavirus Response Act, Division D Emergency Unemployment Insurance Stabilization and Access Act of 2020 (EUISAA) - Reporting Instructions, Modification to Emergency Administrative Grants Application Requirement, and Questions and Answers*, issued May 4, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=5374;
 - UIPL No. 13-20, *Families First Coronavirus Response Act, Division D Emergency Unemployment Insurance Stabilization and Access Act of 2020*, issued March 22, 2020, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=8634; and
 - UIPL No. 03-20, *Minimum Disaster Unemployment Assistance (DUA) Weekly Benefit Amount: January 1 – March 31, 2020*, issued December 12, 2019, https://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=3675.

7. Attachment(s).

- **Attachment I:** Coordination of Unemployment Benefit Programs, including Program Progression Chart.
- **Attachment II:** Important Dates for the Continued Assistance for Unemployed Workers Act of 2020.
- **Attachment III:** Instructions for Completing the SF-424.

Coordination of Programs

Over time, an individual may establish eligibility for multiple unemployment insurance (UI) benefit programs, including multiple UI programs authorized in the Coronavirus Aid, Relief, and Economic Security (CARES) Act and the Continued Assistance for Unemployed Workers Act of 2020 (Continued Assistance Act). These programs were further extended and modified by the American Rescue Plan Act of 2021 (ARPA). With the exception of Federal Pandemic Unemployment Compensation (FPUC) and Mixed Earners Unemployment Compensation (MEUC), as discussed further in UIPL No. 15-20 and its Changes, individuals may only receive benefits under one program for any given week.

The information provided below regarding the order of payment is contingent on an individual meeting all eligibility criteria for the respective program(s). It is also contingent on the state having entered into an agreement with the Secretary of Labor (Secretary) to administer such program(s). A flowchart is included at the end of this narrative.

Track 1. For an individual who is eligible for regular Unemployment Compensation (UC) (which includes Unemployment Compensation for Federal Civilian Employees (UCFE) and Unemployment Compensation for Ex-servicemembers (UCX)), the following order of payment applies.

A. **Regular UC.** The individual must first apply for and receive regular UC.

- *Weekly Benefit Amount (WBA):* Dependent on state law
- *Duration of benefits:* Dependent on state law

B. **Pandemic Emergency Unemployment Compensation (PEUC).** If the individual exhausts regular UC, the individual may then be eligible to receive PEUC under section 2107 of the CARES Act.

- *WBA:* Based on the regular UC claim
- *Duration of benefits:* Initially limited to 13 times the individual's average WBA.
 - For weeks of unemployment beginning on or after December 27, 2020, the individual may receive an additional amount of PEUC equal to 11 times the individual's average WBA for an overall total equal to 24 times the individual's average WBA.
 - For weeks of unemployment ending after March 14, 2021, the individual may receive an additional amount of PEUC equal to 29 times the individual's average WBA for an overall total equal to 53 times the individual's average WBA.

Special Note on Program Coordination (PEUC and Regular UC). There are certain circumstances under which an individual who is collecting PEUC and becomes eligible for a new benefit year of regular UC may be able to continue collecting PEUC instead of filing the new regular UC claim. See Section 4.e. of UIPL No. 17-20, Change 2.

C. **Extended Benefits (EB).** If the individual exhausts PEUC and the state has triggered “on” to EB, the individual may then be eligible to receive EB during the applicable EB period.

- *WBA:* Based on the regular UC claim
- *Duration of benefits:* Up to 13 or 20 weeks (refer to Section 202(b) of the Federal-State Extended Unemployment Compensation Act of 1970 (EUCA)), depending on the state’s unemployment rate and whether the state UC law provides for the optional total unemployment rate (TUR) trigger, which may result in a high unemployment period (HUP)

Special Note on Program Coordination (EB and Regular UC). If at any time while the individual is collecting EB, the individual becomes eligible for regular UC, the individual must stop collecting EB and file a new regular UC claim.

Special Note on Program Coordination (EB and PEUC). If an individual previously exhausted the initial entitlement to PEUC under the CARES Act (13 times the individual’s average WBA) and was eligible to receive EB for the week ending December 26, 2020, the individual must have exhausted their existing EB entitlement before reverting back to collect the additional amount of PEUC made available under the Continued Assistance Act.

If an individual previously exhausted entitlement to PEUC under the Continued Assistance Act (for a total of 24 times the individual’s average WBA) and received EB for the week ending March 13, 2021, the individual must exhaust their existing EB entitlement before reverting back to collect the additional amount of PEUC made available under ARPA.

D. **Pandemic Unemployment Assistance (PUA).** If the state is not triggered “on” to EB or the individual exhausts EB after exhausting regular UC and PEUC, the individual may then be eligible to receive PUA under Section 2102 of the CARES Act. Note that the individual must be otherwise able to work and available for work within the meaning of applicable state law, except that the individual is unemployed, partially unemployed, or unable or unavailable to work because of at least one of the identified COVID-19 related reasons specified in UIPL No. 16-20, Change 5 in order to be eligible for PUA.

- *WBA:* Calculated per the regulations for Disaster Unemployment Assistance (DUA), which are applicable to PUA. See 20 C.F.R. Part 625.6(e) and Attachment II to UIPL No. 16-20, Change 1. For individuals who do not qualify for a higher WBA, the

minimum PUA WBA for each state is provided in UIPL No. 03-20 and applies to all PUA claims, regardless of filing date.

- *Duration of benefits:* Under the CARES Act, initially limited to 39 weeks, minus any weeks of benefits that the individual received during the Pandemic Assistance Period from regular UC and EB.
 - For weeks of unemployment beginning on or after December 27, 2020, under the Continued Assistance Act, the individual may receive an additional 11 weeks of PUA for an overall total equal to 50 weeks, minus any weeks that the individual received regular UC and EB during the Pandemic Assistance Period.
 - For weeks of unemployment ending after March 14, 2021, under ARPA, the individual may receive an additional 29 weeks of PUA for an overall total equal to 79 weeks, minus any weeks that the individual received regular UC and EB during the Pandemic Assistance Period.

During the period in which a state is triggered “on” to a HUP under EB law, the PUA duration is extended for up to an additional 7 weeks.

Special Note on Program Coordination (PUA and Regular UC). If at any time while the individual is collecting PUA, the individual becomes eligible for regular UC, the individual must stop collecting PUA and file a new regular UC claim.

Special Note on Program Coordination (PUA and PEUC). If an individual previously exhausted their entitlement to PEUC (24 times the individual’s average WBA) and is currently collecting PUA at the time the additional PEUC amount under Section 9016, ARPA (29 weeks times the individual’s average WBA), becomes available, the state must stop the PUA claim and have the individual revert to collecting the additional amounts of PEUC. The Secretary will determine the appropriate period of time for a state to take such actions, during which time the individual’s continued receipt of PUA will not be considered improper.

Special Note on Program Coordination (PUA and EB). If a state triggers “on” to EB during the period in which an individual is collecting PUA and the individual has not previously exhausted entitlement to EB for the respective benefit year, then the individual must stop collecting PUA and file for EB.

Track 2. For an individual who is self-employed, seeking part-time employment, does not have sufficient work history, or is otherwise not eligible for regular UC, EB, or PEUC, and who is otherwise able to work and available for work within the meaning of applicable state law, except that the individual is unemployed, partially unemployed, or unable or unavailable to work because of COVID-19 related reason(s) identified in UIPL No. 16-20, Change 5, the individual may collect PUA under section 2102 of the CARES Act.

- *WBA*: Calculated per the DUA regulations, which are applicable to PUA.
- *Duration of benefits*: Under the CARES Act, initially limited to 39 weeks, minus any weeks that the individual received during the Pandemic Assistance Period from regular UC and EB.
 - For weeks of unemployment beginning on or after December 27, 2020, under the Continued Assistance Act, the individual may receive an additional 11 weeks of PUA for an overall total equal to 50 weeks, minus any weeks that the individual received regular UC and EB during the Pandemic Assistance Period.
 - For weeks of unemployment ending after March 14, 2021, under ARPA, the individual may receive an additional 29 weeks of PUA for an overall total equal to 79 weeks, minus any weeks that the individual received regular UC and EB during the Pandemic Assistance Period.

During the period in which a state is triggered “on” to a HUP under EB law, the PUA duration is extended for up to an additional 7 weeks.

Other Considerations

- **Federal Pandemic Unemployment Compensation (FPUC)**. The FPUC program under the CARES Act originally provided an additional \$600 per week to an individual collecting regular UC, PEUC, PUA, EB, STC, TRA, DUA, and Self-Employment Assistance (SEA). Individuals received FPUC payments concurrently with payments under these programs. Upon execution of an agreement between the state and Department, FPUC applied to all weeks of unemployment ending on or before July 31, 2020.

Under the Continued Assistance Act, for weeks of unemployment beginning after December 26, 2020 and ending on or before March 14, 2021, an individual collecting regular UC, PEUC, PUA, EB, STC, TRA, DUA, and SEA may collect a \$300 FPUC payment per week.

Under ARPA, this program is extended at the \$300 per week amount for weeks of unemployment ending on or before September 6, 2021.

- **Mixed Earners Unemployment Compensation (MEUC)**. The MEUC program provides an additional \$100 per week to certain individuals collecting regular UC, PEUC, EB, STC, TRA, DUA, and SEA (excluding PUA). Eligible individuals receive MEUC payments concurrently with payments under these programs and in addition to FPUC. This is an optional program for states to administer. Under the Continued Assistance Act, MEUC was payable beginning with weeks of unemployment no earlier than the week ending January 2, 2021 through the week of

unemployment ending on or before March 14, 2021. Under ARPA, this program is extended to weeks of unemployment ending on or before September 6, 2021.

- **Trade Readjustment Allowances (TRA).** As stated in Question 7 of Attachment I to UIPL No. 14-20, Change 1, if the individual exhausts regular UC, PEUC, and EB and meets the eligibility criteria, the individual must collect TRA before continuing on to PUA.
- **Relationship between PUA and Disaster Unemployment Assistance (DUA).** As stated in Question 16 of Attachment I to UIPL No. 14-20, Change 1, eligibility for both PUA and DUA are based on the reason for an individual's unemployment. If an individual's unemployment is directly caused by a major disaster, then the individual's unemployment is not due to a COVID-19 reason and the individual would not qualify for PUA. Conversely, if the reason for the individual's unemployment, partial unemployment, or inability or unavailability to work is because of COVID-19 related reason(s) identified in UIPL No. 16-20, Change 5, the individual may qualify for PUA, but would not qualify for DUA.
- **State Additional Benefits (AB).** A number of states also have provisions in state law for extending the potential duration of benefits during periods of high unemployment for individuals in approved training who exhaust benefits, or for a variety of other reasons. Although some state laws call these programs "extended benefits," the Department uses the term "additional benefits" (AB) to avoid confusion with the federal-state EB program. FPUC and MEUC are not payable to individuals receiving AB payments. The order of payment for AB within the context of the multiple programs described above is dependent on state law.

Program Progression Chart

Last Updated 03/11/21

Federal Pandemic Unemployment Compensation (FPUC)

- Additional amount each week for regular UC (including UCFE and UCX), PEUC, EB, PUA, STC, TRA, DUA, and SEA
- \$600 available for weeks of unemployment beginning after state signs Agreement through weeks ending on or before July 31, 2020
- \$300 available for weeks of unemployment beginning after December 26, 2020 and ending on or before September 6, 2021

Mixed Earners Unemployment Compensation (MEUC)

- Optional program for states
- Additional amount each week for individuals with at least \$5,000 in self-employment income during specified timeframe
- Applies to claims for regular UC (including UCFE and UCX), PEUC, EB, STC, TRA, DUA, and SEA (excluding PUA)
- Additional \$100 available for weeks of unemployment no earlier than week ending January 2, 2021 through weeks ending on or before September 6, 2021

TRACK 2. Individual who is self-employed, seeking part-time employment, does not have sufficient work history, or is otherwise not eligible for regular UC, PEUC, or EB.

Individual must be unemployed, partially unemployed, or unable or unavailable to work because of a listed COVID-19 related reason in UIPL No. 16-20, Change 5, to qualify for PUA.

TRACK 1. Individual is eligible for regular UC, including UCFE and UCX

Regular Unemployment Compensation (UC)

Individual exhausts regular UC

Pandemic Emergency Unemployment Compensation (PEUC)

- For an individual whose regular UC benefit year expires after December 27, 2020, if the individual qualifies for new regular UC claim and meets criteria under the Continued Assistance Act, the state may provide an option for the individual to continue collecting PEUC

Individual exhausts PEUC

Extended Benefits (EB)

- If an individual qualifies for new regular UC claim, the individual must stop collecting EB and file new regular UC claim
- If an individual is collecting EB when additional PEUC weeks become available under the Continued Assistance Act, the individual must exhaust existing EB claim before reverting to PEUC claim
- If an individual is collecting EB when additional PEUC weeks become available under ARPA, the individual must exhaust existing EB claim before reverting to PEUC claim

Individual exhausts EB or state is not triggered "on" AND Individual must be unemployed, partially unemployed, or unable or unavailable to work because of a listed COVID-19 related reason in UIPL No. 16-20, Change 5, to qualify for PUA.

Pandemic Unemployment Assistance (PUA)

- If an individual qualifies for new regular UC claim, the individual must stop collecting PUA and file new regular UC claim
- If an individual is collecting PUA when additional PEUC weeks become available under the Continued Assistance Act, the individual must stop collecting PUA and revert to PEUC claim
- If an individual is collecting PUA when additional PEUC weeks become available under ARPA, the individual must stop collecting PUA and revert to PEUC claim
- If state triggers "on" to EB and an individual is eligible for EB, the individual must stop collecting PUA and file EB claim

Important Dates for the American Rescue Plan Act of 2021

Benefit Programs

	Start Date		End Date	
	Program Start Date	Actual Week Ending Date	Statutory End Date	Actual Week Ending Date
PUA Claims filed on or before December 27, 2020	If agreement in place, January 27, 2020 ¹	February 8, 2020 (claim effective February 2, 2020)	September 6, 2021 ²	September 4, 2021
PUA Claims filed after December 27, 2020	If agreement in place, December 1, 2020	December 12, 2020 (claim effective December 6, 2020)	September 6, 2021 ²	September 4, 2021
PEUC	Date of agreement (generally March 28, 2020) ³	April 4, 2020 (claim effective March 29, 2020)	September 6, 2021 ²	September 4, 2021
FPUC \$600 amount	Date of agreement (generally March 28, 2020) ³	April 4, 2020	July 31, 2020 ²	July 25, 2020
FPUC \$300 amount	If agreement in place, December 26, 2020 ³	January 2, 2021	September 6, 2021 ²	September 4, 2021
MEUC ⁴	December 27, 2020	January 2, 2021	September 6, 2021 ²	September 4, 2021

Note: Actual week ending date information is for states whose weeks end on a Saturday

¹ Weeks of unemployment beginning on or after this date
² Weeks of unemployment ending on or before this date
³ Weeks of unemployment beginning after this date
⁴ This is an optional program for states to administer

PUA = Pandemic Unemployment Assistance
PEUC = Pandemic Emergency Unemployment Compensation
FPUC = Federal Pandemic Unemployment Compensation
MEUC = Mixed Earners Unemployment Compensation

Other Provisions

	Start Date		End Date	
	Statutory Start Date	Actual Week Ending Date	Statutory End Date	Actual Week Ending Date
Emergency unemployment relief for reimbursing employers (50%)	March 13, 2020 ¹	March 21, 2020	March 31, 2021 ²	April 3, 2021
Emergency unemployment relief for reimbursing employers (75%)	March 31, 2021 ³	April 10, 2021	September 6, 2021 ²	September 4, 2021
Full federal funding of sharable EB costs	March 18, 2020 ³	March 28, 2020	September 6, 2021 ⁴	September 11, 2021 ⁵
Temporary matching for first week of EB	March 18, 2020 ³	March 28, 2020	September 6, 2021 ⁴	September 11, 2021 ⁵
Temporary assistance for states with advances	March 18, 2020	N/A	September 6, 2021	N/A
Emergency state staffing flexibilities	March 27, 2020	N/A	September 6, 2021	N/A
Full federal funding for first compensable week of regular UC (100%)	Date of agreement (generally March 28, 2020) ³	April 4, 2020	September 6, 2021 ²	September 4, 2021
Temporary financing of STC payments in states with programs in law	March 27, 2020 ¹	April 4, 2020	September 6, 2021 ²	September 4, 2021
Temporary financing of STC agreements	Date of agreement ¹	State-specific date	September 6, 2021 ²	September 4, 2021
States may waive the 13-week EB “off” period, if provided under state law	November 1, 2020	N/A	December 31, 2021	N/A
Return to Work Reporting Requirement	January 26, 2021	N/A	While agreements for CARES Act programs are in place	N/A

Note: Actual week ending date information is for states whose weeks end on a Saturday

¹ Weeks of unemployment beginning on or after this date

² Weeks of unemployment ending on or before this date

³ Weeks of unemployment beginning after this date

⁴ Weeks of unemployment beginning before this date

⁵ The last week of unemployment for states with a Sunday week ending date is September 5, 2021

EB = Extended Benefits

UC = Unemployment Compensation

STC = Short-Time Compensation

Instructions for Completing the SF-424

Please note that States are required to submit an SF-424 for each funding allotment (*i.e.*, SF-424 for the Pandemic Unemployment Assistance funding; SF-424 for the Federal Pandemic Unemployment Compensation; SF-424 for the Pandemic Emergency Unemployment Compensation funding; and a SF-424 for the Mixed Earner Unemployment Compensation (MEUC) if the state is participating in the MEUC program).

Application for Federal Assistance (SF-424)

Use the current version of the form for submission. Expired forms will not be accepted. SF-424, Expiration Date 12/31/2022, Office of Management and Budget (OMB) Control No. 4040-0004 (Grants.gov).

<http://www.grants.gov/web/grants/forms/sf-424-family.html>

Section # 8, APPLICANT INFORMATION:

- Legal Name: The legal name must match the name submitted with the System for Award Management (SAM). Please refer to instructions at <https://www.sam.gov/SAM/>.
- Employer/Tax Identification Number (EIN/TIN): Input your correct 9-digit EIN and ensure that it is recorded within SAM.
- Organizational DUNS: All applicants for Federal grant and funding opportunities are required to have a 9-digit Data Universal Numbering System (D-U-N-S®) number, and must supply their D-U-N-S® number on the SF-424. Please ensure that your state is registered with the SAM. Instructions for registering with SAM can be found at <https://www.sam.gov/SAM/>. Additionally, the state must maintain an active SAM registration with current information at all times during which it has an active Federal award or an application under consideration. To remain registered in the SAM database after the initial registration, there is a requirement to review and update the registration at least every 12 months from the date of initial registration or subsequently update the information in the SAM database to ensure it is current, accurate, and complete. Failure to register with SAM and maintain an active account will result in a rejection of your submission.
- Address: Input your complete address including Zipcode+4; Example: 20110-831. For lookup, use link at <https://tools.usps.com/go/ZipLookupAction!input.action>.
- Organizational Unit: Input appropriate Department Name and Division Name, if applicable.
- Name and contact information of person to be contacted on matters involving this application: Provide complete and accurate contact information including telephone number and email address for the point of contact.

Section # 9, Type of Applicant 1: Select Applicant Type: Input “State Government”

Section # 10, Name of the Federal Agency: Input “Employment and Training Administration”

Section # 11, Catalog of Federal Domestic Assistance Number: Input “17.225”;
CFDA Title: Input “Unemployment Insurance”

Section # 12, Funding Opportunity Number and Title:

For Pandemic Unemployment Assistance Funding Allotment:

Input “UIPL No. 14-21, Pandemic Unemployment Assistance Extension Implementation Grants”

For Federal Pandemic Unemployment Compensation Funding Allotment:

Input “UIPL No. 14-21, Federal Pandemic Unemployment Compensation Extension Implementation Grants”

For Pandemic Emergency Unemployment Compensation Funding Allotment:

Input “UIPL No. 14-21, Pandemic Emergency Unemployment Compensation Extension Implementation Grants”

For Mixed Earner Unemployment Compensation Funding Allotment:

Input “UIPL No. 14-21, Mixed Earner Unemployment Compensation Implementation Grants”

Section # 13, Competition Identification Number: Leave Blank

Section # 14, Areas Affected by Project: Input the place of performance for the project implementation; Example “NY” for New York

Section # 15, Descriptive Title of Applicant’s Project:

For Pandemic Unemployment Assistance Funding Allotment:

Input “UIPL No. 14-21, Pandemic Unemployment Assistance Extension Implementation Grants”

For Federal Pandemic Unemployment Compensation Funding Allotment:

Input “UIPL No. 14-21, Federal Pandemic Unemployment Compensation Extension Implementation Grants”

For Pandemic Emergency Unemployment Compensation Funding Allotment:

Input “UIPL No. 14-21, Pandemic Emergency Unemployment Compensation Extension Implementation Grants”

For Mixed Earner Unemployment Compensation Funding Allotment:

Input “UIPL No. 14-21, Mixed Earner Unemployment Compensation Implementation Grants”

Section # 16, Congressional Districts of:

- a. Applicant: Input the Congressional District of your home office. For lookup, use link at www.house.gov with Zip code + 4
- b. Program/Project: Input the Congressional District where the project work is performed. If it’s the same place as your home office, input the congressional district for your home office. For lookup, use link at www.house.gov with Zipcode+4

Section # 17, Proposed Project

- a. Start Date: Input a valid start date for the project (earliest start date will be January 1, 2021)
- b. End Date: Input a valid end date for the project (June 30, 2022)

Section # 18, Estimated Funding (\$):

Each state is allotted funding to cover implementation costs for each program as follows:

Pandemic Unemployment Assistance program: \$100,000;

Federal Pandemic Unemployment Compensation: \$50,000;

Pandemic Emergency Unemployment Compensation: \$75,000; and

Mixed Earner Unemployment Compensation: \$50,000.

Section #s 19 – 20: Complete as per instructions for Form SF-424

Section # 21, Authorized Representative: Please select the “I AGREE” check box and provide complete information for your authorized signatory including contact information such as telephone number and email address. If your Authorized Representative has changed from your previous application submission for this program, please include a letter from higher-level leadership authorizing the new signatory for the application submission

Remember to get the SF-424 signed and dated by the Authorized representative